

12-17-01

cket No: AM100315

Patent



Commissioner for Patents Box PATENT APPLICATION Washington, DC 20231

## **NEW APPLICATION FOR TRANSMITTAL**

Transmitted herewith for filing is the patent application of the following Inventor(s): Gregory Scott WELMAKER; Joan Eileen SABALSKI; Michael David SMITH

For: PROCESS FOR THE PREPARATION OF 1, 2, 3, 4, 8, 9, 10, 10a-OCTAHYDRO-7bH-CYCLOPENTA[b][1, 4]DIAZEPINO[6, 7, 1-hi]INDOLE DERIVATIVES.

1.	Papers	s enclosed which are required for a fifth	g date und	er 33 (	7LK 1.33(	ω):	
	$\boxtimes$	Pages of specification – 22 pages				•	•
	$\sqcap$	Sequence Listing – pages on:					
		CD Rom or CD-R (2 copies); or		-			
						•	
		paper			-		
	$\boxtimes$	Pages of claims – 9 pages					•
	$\boxtimes$	Page(s) of abstract – 1 pages					
	$\Box$	Sheets of drawing – pages	•				
		Formal	-			,	
		Informal					
						•	
	A 1 11					•	
<b>2</b> .	Addıtı	onal papers enclosed			~	•	
		Information Disclosure Statement		-			
		Form PTO-1449			•		•
	$\Box$	Citations				•	
*	$\sqcap$	Declaration of Biological Deposit					
	H	Computer Readable Form of Sequence	e Lictina				
•	H	•	c Listing				
	$\square$	Declaration Under 37 CFR 1.821(f)					
	$\bowtie$	Other: Data Form					
3.	Declar	ration			•		•
	$\square$ .	Enclosed and executed by all inventor	r(s)		•		
	Ħ,	Not enclosed or not executed by all in	` '	٠.			
		1 tot enerosed of not exceeded by an in	· Cintor (s)				
		CERTIFICATE OF MA	ILING 37	<b>CFR</b>	§1.10		
I herel	by certify t	hat this paper and the documents referred to as enclosed the	rein are being d	leposited	with the United	d States Postal Se	rvice on the
date w	ritten belo	w in an envelope as "Express Mail Post Office to Addresse	e" Mailing Labo				
Comn	nissioner fo	or Patents, Box PATENT APPLICATION, Washington, Do	C 20231.	•			
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		-02-01	John	YK.	Bank	2e_	
	Date		Øohn R. E	Barker			
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## 4. Assignment

An assignment of the invention to:

American Home Products Corporation Five Giralda Farms Madison, NJ 07054-0874

	was made in the prior application and recorded in PTO on	, Reel
·	Frame	
H	is attached under separate Recordation Form Cover Sheet. will follow.	,

## 5. Filing Fee Calculation

CLAIMS FEE					
(1)	(2)	. (3)			(4)
	NUMBER	NUMBER EXTRA x			
FOR	FILED	RATE			BASIC FEE
					\$710.00
TOTAL CLAIMS	15	0	x \$	18.00	0.00
INDEPENDENT	4	1	x \$	84.00	\$84.00
CLAIMS	•				
MULTIPLE	,	,	\$	280.00	
DEPENDENCY					
FEE .					•
Total Filing Fee:			\$794.00		

6. Method of Payment of Fees:

Charge Deposit Account No. 01-1425 in the amount of \$794.00.

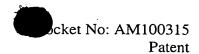
A duplicate of this transmittal is attached.

7. Instructions as to Overpayment:

Credit any overpayment to Deposit Account No. 01-1425.

## 8. General Authorization:

During the pendency of this application treat any reply requiring a petition for extension of time for its timely submission as containing a request therefor for the appropriate length of time. The Commissioner is hereby authorized to charge all required extension of time fees during the entire pendency of this application to Deposit Account No. 01-1425.



9.	Author	ization to Charge Additional Fees  The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. 01-1425
	$\boxtimes$	37 CFR 1.16(a), (f), or (g) filing fees
	$\boxtimes$	37 CFR 1.16(b), (c), and (d) presentation of extra claims
		37 CFR 1.16(e) surcharge for filing the basic filing fee and/or declaration on a date
		later than the filing date of the application.
	$\boxtimes$	37 CFR 1.17 application processing fees
10. Relate back (35 USC 119(e))  Amend the Specification by inserting before the first line the sente		back (35 USC 119(e)) Amend the Specification by inserting before the first line the sentence:
		—This application claims priority from copending provisional application(s) serial number 60/ filed on .—
11.	REQU	EST AND CERTIFICATION UNDER 35 U.S.C 122(b)(2)(B)(i). A request not to publish this application and certification under 35 U.S.C. 122(b)(2)(B)(i) is attached.

12. Correspondence Address and Telephone Number

SEND CORRESPONDENCE TO:

Customer Number: 25291

Bar Code:



25291

PATENT TRADEMARK OFFICE

DIRECT ALL TELEPHONE CALLS TO:

Name: Steven R. Eck Tel. No. (610) 902-2628

13. Return Receipt Postcard is attached.

Steven R. Eck

November 1, 2001

Reg. No. 36,126

American Home Products Corporation Patent Law Department Five Giralda Farms Madison, NJ 07940-0874 Tel. No. (610) 902-2628